

PATENT
Atty. Dkt. AMAT/5699.P3/CMP/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu, et al.

Serial No.: 10/608,404

Confirmation No.: 7966

Filed: June 26, 2003

For: Method and Composition
For Polishing A Substrate

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**Group Art Unit: 1765**

**Examiner:** Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**  
**37 CFR 1.8**

I hereby certify that this correspondence is being deposited on March 17, 2004 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

03/17/2024  
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B-92  
Signature

**Dear Sir:**

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

03/22/2004 WASFAW1 00000056 200782 10608404

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Applicants certify that all references submitted with this disclosure were first cited in a communication from a foreign patent office dated February 13, 2004, which communication is enclosed, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No.20-0782/AMAT/5699.P3/BKH.

Respectfully submitted,



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Brian K. Hrna  
Registration No. 41,852  
MOSER, PATTERSON & SHERIDAN, L.L.P.  
3040 Post Oak Blvd. Suite 1500  
Houston, TX 77056  
Telephone: (713) 623-4844  
Facsimile: (713) 623-4846  
Attorney for Applicant(s)

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Substitute for form 1449B/PTO

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet | 1

of 1

Application Number

10/608,404

Filing Date

**JUNE 26, 2003**

First Named Inventor

FENG Q. LIU, ET AL.

### Group Art Unit

7966

Examiner Name

UNKNOWN

Attorney Docket Number

AMAT/5699.P3/CMP/CMP/RKK

Submission Date

March 17, 2004

## NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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